



International Organization for Migration (IOM)  
Organisation internationale pour les migrations (OIM)  
Organización Internacional para las Migraciones (OIM)

## **STANDING COMMITTEE ON PROGRAMMES AND FINANCE**

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## **SUPPORT FOR DEVELOPING MEMBER STATES AND MEMBER STATES WITH ECONOMY IN TRANSITION**

**(Status report: 1 January to 31 March 2011)**

**SUPPORT FOR DEVELOPING MEMBER STATES AND  
MEMBER STATES WITH ECONOMY IN TRANSITION  
(Status report: 1 January to 31 March 2011)**

**Background**

1. Since the Facility was established through Council Resolution No. 1035, adopted on 29 November 2000, the background and guidelines for the management of the budget allocation to the 1035 Facility (Support for developing Member States and Member States with economy in transition) have been presented at various sessions of the Subcommittee on Budget and Finance and the Standing Committee on Programmes and Finance, and at informal consultations.

2. In response to the Member States' request for additional funding, the 1035 Facility was expanded by the Council at its Ninety-third (Special) Session with the adoption of Resolution No. 1150 of 7 June 2007.<sup>1</sup> The expanded 1035 Facility became operational on 1 January 2008 and comprises two lines of funding.

3. In 2011, a total of USD 6,556,366<sup>2</sup> is available for the 1035 Facility. Discretionary Income of USD 1,400,000 (MC/2297) and USD 5,068 of recovered funds from closed Line 1 projects were allocated to Line 1, providing a total of USD 1,405,068 available funding at the beginning of the year for Line 1. At the close of the 2010 accounts, USD 5,089,949<sup>3</sup> in Discretionary Income, USD 26,627 of recovered funds from closed Line 2 projects and EUR 25,000<sup>4</sup> carried forward from 2010 (as reported in SCPF/57, Final report for 1 January to 31 December 2010) were allocated to Line 2, providing a total of USD 5,151,298 available funding at the beginning of the year for Line 2.

**Main characteristics of the 1035 Facility**

4. In 2011, the 1035 Facility will continue to provide a flexible means of responding rapidly and efficiently to the priorities of eligible Member States. The criteria and guidelines of the expanded 1035 Facility have been updated to provide practical guidance on the operation and management of the two funding lines of the Facility. Current management guidelines can be found on the 1035 Facility section of the IOM website in all three official languages (<http://www.iom.int/1035/>), and are summarized below.

**Beneficiaries of the 1035 Facility**

5. The beneficiaries of the 1035 Facility continue to be IOM developing Member States and Member States with economy in transition. Eligibility is based on the most recent version of the list of low-income to upper-middle-income economies, as designated by the World Bank. Countries that would otherwise be eligible because they are on the World Bank list will

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<sup>1</sup> In addition to the annual budgeted USD 1,400,000 allocation, Council Resolution No. 1150 of 7 June 2007 approved the IOM Strategy, which, inter alia, states that "25 per cent of Discretionary Income (excluding security) in excess of the 2007 Programme and Budget Discretionary Income of USD 20.5 million will be allocated to an expanded 1035 Facility starting in 2008" and that "The total amount available for the expanded 1035 Facility (excluding direct voluntary contributions) cannot exceed total miscellaneous income (unearmarked contributions and interest income)."

<sup>2</sup> The Governments of Austria, Belgium, Hungary and the United States of America provided unearmarked contributions which are part of the Discretionary Income that funds the 1035 Facility.

<sup>3</sup> Subject to the approval of the Financial Report for the year ended 31 December 2010.

<sup>4</sup> EUR 25,000 contribution made by the Government of Italy in 2010, but too late in the year to be allocated and therefore carried forward to 2011.

be excluded upon joining the European Union. Voluntary withdrawal from eligibility remains open to any eligible Member State.

6. Depending on the funding line, the eligibility to apply to and benefit from the Facility differs as follows:

- Line 1: All eligible Member States may apply for funding and/or benefit from funded projects.
- Line 2: All eligible Member States not subject to Article 4 of the IOM Constitution may apply for funding and/or benefit from funded projects.

7. In the context of regional projects, IOM non-Members continue to be included among the beneficiaries, as was previously the case, only where a majority of the beneficiaries are eligible Member States.

8. IOM non-Members are not eligible to apply directly for support from the Facility.

### **Types of projects eligible for funding under the 1035 Facility**

9. Priority is given to capacity-building projects in the various IOM areas of activity, including research and feasibility studies related to such activities.

10. National and regional projects are eligible for funding.

11. Projects with good prospects for future funding and projects that provide co-funding to major donor commitments or bridging funds continue to be supported.

12. The types of activities that cannot be funded under the 1035 Facility include the following:

- (a) Movements: activities that are overseen by the IOM Resettlement and Movement Management Division, including IOM's traditional refugee and migrant transport and resettlement programmes;
- (b) Emergencies: activities that are overseen by the IOM Emergency and Post-crisis Division; for example, activities in response to the flooding in Pakistan, the recent unrest in North Africa and the crisis in Côte d'Ivoire;
- (c) Major conferences and similar events that are continuations of ongoing dialogues and similar, well-established activities. However, conferences and similar events that may be useful in launching new regional processes, opening new geographic coverage or increasing programme planning and implementation between IOM and Member States are not excluded;
- (d) Projects mainly supporting IOM staff and office costs, including projects proposed for the specific purpose of opening an IOM office, are excluded. IOM staff and office costs can, however, be included in the budget for project implementation following the usual IOM projectization approach;
- (e) Assisted voluntary return projects, unless they include significant elements of government capacity-building alongside the return component.

### **Funding levels**

13. The following maximum funding levels apply:

- Line 1: USD 100,000 for both national and regional projects. Exceptional increases for regional projects will continue to be considered up to USD 200,000.
- Line 2: USD 200,000 for national projects and USD 300,000 for regional projects. Funding requests beyond these limits will not be considered.

### **Project duration**

14. The maximum project duration for Line 1 funded projects is 12 months. The maximum project duration for Line 2 funded projects is 24 months.

### **Application procedure**

15. A project for consideration can be presented by the government of an eligible Member State, including by its Permanent Mission in Geneva.

16. A project may also be presented by IOM Field Offices, or by IOM Headquarters departments.

17. All projects are referred to the participating IOM Office(s) for coordination and support.

18. All submitted projects, including those submitted by the Permanent Mission in Geneva, must be supported by a written endorsement and request for 1035 Facility funding from the respective capital. In the case of regional projects, a minimum of two eligible and benefiting Member States must endorse and support the proposal. If regional projects benefit many Member States, the Facility management will request additional letters of support from a majority of the eligible and benefiting Member States and may require additional information on the project's expected outcomes and impact. This endorsement should take the form of a letter to IOM from the cooperating arm of the government, citing the project and making specific reference to the Facility support.

19. It is not necessary for an eligible Member State to create the project document for consideration. Eligible Member States can discuss with, or otherwise relay their areas of interest to, the nearest IOM Field Office, or with IOM Headquarters; the relevant IOM unit will then work with the government to shape and finalize the document and the project design.

20. Project enquiries and applications are accepted in any of the three official languages of the Organization, and throughout the year. As from January 2011, the 1035 Facility management has implemented deadlines for the submission of regional applications. This measure has become necessary to improve planning of the disbursement of funds with respect to both regional initiatives and national priorities.

- First deadline: 15 March 2011
- Second deadline: 30 June 2011

21. The request for funding under the 1035 Facility should specify the line under which funding is sought (Line 1 or Line 2). If a specific funding line is not mentioned, the Facility management will consider projects under Line 1 or Line 2 based on the level of funding requested, the proposed duration of the project and the availability of the funds.<sup>5</sup>

### **Funding allocations in 2011**

22. Decisions on funding under both Line 1 and Line 2 will continue to be made throughout the year, approximately on a quarterly basis for national projects, subject to the availability of funds and the established deadlines for regional project applications as explained in paragraph 20.

### **Project tracking, reporting and management**

23. Facility-funded projects are managed by the relevant IOM Field Mission or Office or, in exceptional cases, the relevant IOM Headquarters unit, in coordination with the appropriate arm of the government of the benefiting Member State. IOM includes 1035 Facility proposals in its normal project-tracking procedures.

24. Regular progress reports, including financial reports, are required through the established IOM reporting channels. The project manager is expected to ensure that any adjustment to objectives and budget is made in concert with the relevant government project counterparts and Headquarters unit.

25. Projects with a duration of 12 months and beyond (including extensions) require a 6-month interim narrative and financial report using the standard IOM reporting templates, and a final narrative and financial report within 3 months of project completion. Projects with a duration of less than 12 months do not require an interim report, unless the project is extended to cover 12 months and beyond and the Facility management deems an interim report to be appropriate. The Facility will review the narrative report and, once the financial report is reviewed and endorsed by Regional Accounting Support, the narrative report will be forwarded to the relevant Permanent Mission(s) in Geneva. Financial reports require a breakdown of operational costs to match the budget categories of the original budget. IOM Offices are expected to share project information/reports with relevant government counterparts.

### **Administrative support**

26. In order to ensure that the 1035 Facility is properly administered, a dedicated administrative function based at Headquarters (for coordination within IOM and with the Permanent Missions) and a further administrative function at the Manila Administrative Centre (to help track and implement projects) are funded by the 1035 Facility.

### **Evaluation**

27. The third evaluation of the 1035 Facility was completed during the first quarter of 2011 by the Office of the Inspector General and the summary report of this evaluation is available.

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<sup>5</sup> A follow-up project to a project previously funded under the 1035 Facility (Line 1) will not be admissible for further funding under Line 1.

**Eligible Member States and allocations: 1 January to 31 March 2011**

28. This document includes the following annexes:

Annex I: Line 1: List of IOM Member States eligible for allocations from the 1035 Facility, by region, as at 31 March 2011

Annex II: Line 2: List of IOM Member States eligible for allocations from the 1035 Facility, by region, as at 31 March 2011

Annex III: Line 1 and Line 2: 1035 Facility regional distribution chart: Approved projects from 1 January to 31 March 2011

Annex IV: Line 1 and Line 2: 1035 Facility financial summary: 1 January to 31 March 2011

## Annex I

### Line 1: List of IOM Member States eligible for allocations from the 1035 Facility, by region, as at 31 March 2011

(total: 93)

<b>Africa</b> 43 Members (46%)	<b>Latin America and the Caribbean</b> 21 Members (23%)	<b>Asia</b> 16 Members (17%)
Algeria	Argentina	Afghanistan
Angola	Belize	Bangladesh
Benin	Bolivia (Plurinational State of)	Cambodia
Botswana	Brazil	India
Burkina Faso	Chile	Iran (Islamic Republic of)
Burundi	Colombia	Kazakhstan
Cameroon	Costa Rica	Kyrgyzstan
Cape Verde	Dominican Republic	Mongolia
Central African Republic	Ecuador	Nepal
Congo	El Salvador	Pakistan
Côte d'Ivoire	Guatemala	Philippines
Democratic Republic of the Congo	Haiti	Sri Lanka
Egypt	Honduras	Tajikistan
Gabon	Jamaica	Thailand
Gambia	Mexico	Timor-Leste
Ghana	Nicaragua	Viet Nam
Guinea	Panama	
Guinea-Bissau	Paraguay	<b>Europe</b>
Kenya	Peru	11 Members (12%)
Lesotho	Uruguay	
Liberia	Venezuela (Bolivarian Republic of)	Albania
Libyan Arab Jamahiriya		Armenia
Madagascar		Azerbaijan
Mali		Belarus
Mauritania		Bosnia and Herzegovina
Mauritius		Georgia
Morocco		Montenegro
Namibia		Republic of Moldova
Niger		Serbia
Nigeria		Turkey
Rwanda		Ukraine
Senegal		
Sierra Leone		<b>Middle East</b>
Somalia		2 Members (2%)
South Africa		
Sudan		Jordan
Swaziland		Yemen
Togo		
Tunisia		
Uganda		
United Republic of Tanzania		
Zambia		
Zimbabwe		

## Annex II

### Line 2: List of IOM Member States eligible for allocations from the 1035 Facility, by region, as at 31 March 2011

(total: 72)

#### **Africa**

26 Members (36%)

Algeria  
Angola  
Benin  
Botswana  
Burkina Faso  
Cameroon  
Central African Republic  
Congo  
Egypt  
Ghana  
Kenya  
Lesotho  
Madagascar  
Mali  
Mauritius  
Morocco  
Namibia  
Rwanda  
South Africa  
Swaziland  
Togo  
Tunisia  
Uganda  
United Republic of Tanzania  
Zambia  
Zimbabwe

#### **Latin America and the Caribbean**

18 Members (25%)

Argentina  
Belize  
Chile  
Colombia  
Costa Rica  
Ecuador  
El Salvador  
Guatemala  
Haiti  
Honduras  
Jamaica  
Mexico  
Nicaragua  
Panama  
Paraguay  
Peru  
Uruguay  
Venezuela (Bolivarian Republic of)

#### **Asia**

15 Members (21%)

Afghanistan  
Bangladesh  
Cambodia  
India  
Kazakhstan  
Kyrgyzstan  
Mongolia  
Nepal  
Pakistan  
Philippines  
Sri Lanka  
Tajikistan  
Thailand  
Timor-Leste  
Viet Nam

#### **Europe**

11 Members (15%)

Albania  
Armenia  
Azerbaijan  
Belarus  
Bosnia and Herzegovina  
Georgia  
Montenegro  
Republic of Moldova  
Serbia  
Turkey  
Ukraine

#### **Middle East**

2 Members (3%)

Jordan  
Yemen

### Annex III

#### Line 1 and Line 2: 1035 Facility regional distribution chart: Approved projects from 1 January to 31 March 2011

REGION: AFRICA		
IOM Office (benefiting Members and non-Members)	Project	Amount funded (USD)

Line 1		
IOM Lisbon (Guinea-Bissau)	Assessment of the Development Potential of the Guinea-Bissau Diaspora in Portugal and France – IOM Strategy: activity 4	100 000
MRF Brussels (Colombia, Costa Rica, Ghana, Nicaragua, Senegal and Tunisia)	Co-funding for the EC Project on Best Practices on Collecting and Sharing Labour Migration Data for the Improvement of the Labour Market Information Systems (LMIS) – IOM Strategy: activity 6	49 238
Africa Line 1 allocations to date		149 238
Africa Line 1 available funding (less multiregional and administration allocation)		577 331
Allocations for Africa Line 1 as a percentage of available funding		26%

Line 2		
IOM Ghana	Linking the Ghanaian Diaspora to the Development of Ghana – IOM Strategy: activity 4	199 960
IOM Zambia	Capacity-building for Immigration Officials in Zambia – IOM Strategy: activity 3	200 000
IOM Zimbabwe	Strengthening Community-based Planning and Local Governance in Migration-affected Areas in Zimbabwe – IOM Strategy: activity 4	200 000
MRF Nairobi (Kenya, Rwanda, Uganda and the United Republic of Tanzania)	Regional Counter-trafficking Project to Enhance Protection through Linkages and Cooperation among Neighbouring Countries in the East Africa Region: Kenya, Rwanda, Uganda and the United Republic of Tanzania: Phase 2 – IOM Strategy: activity 11	200 000
MRF Pretoria (Swaziland)	Capacity-building for Migration Management in Swaziland – IOM Strategy: activity 3	200 000
Africa Line 2 allocations to date		999 960
Africa Line 2 available funding (less multiregional and administration allocation)		1 854 467
Allocations for Africa Line 2 as a percentage of available funding		54%

Lines 1 and 2		
Africa regional allocations to date		1 149 198
Africa regional available funding		2 431 798
Percentage allocated across the two funding lines		47%

**Annex III (continued)**

<b>REGION: MIDDLE EAST</b>		
<b>IOM Office (benefiting Members and non-Members)</b>	<b>Project</b>	<b>Amount funded (USD)</b>

<b>Line 1</b>		
<b>Middle East Line 1 allocations to date</b>		<b>0</b>
Middle East Line 1 available funding (less multiregional and administration allocation)		<b>25 101</b>
Allocations for Middle East Line 1 as a percentage of available funding		<b>0%</b>

<b>Line 2</b>		
IOM Jordan	Technical Cooperation on Migration Health in Jordan – IOM Strategy: activities 6 and 8	<b>150 000</b>
<b>Middle East Line 2 allocations to date</b>		<b>150 000</b>
Middle East Line 2 available funding (less multiregional and administration allocation)		<b>154 539</b>
Allocations for Middle East Line 2 as a percentage of available funding		<b>97%</b>

<b>Lines 1 and 2</b>		
<b>Middle East regional allocations to date</b>		<b>150 000</b>
<b>Middle East regional available funding</b>		<b>179 640</b>
Percentage allocated across the two funding lines		<b>84%</b>

**Annex III (continued)**

<b>REGION: AMERICAS AND THE CARIBBEAN</b>		
<b>IOM Office (benefiting Members and non-Members)</b>	<b>Project</b>	<b>Amount funded (USD)</b>

<b>Line 1</b>		
MRF Brussels (Colombia, Costa Rica, Ghana, Nicaragua, Senegal and Tunisia)	Co-funding for the EC Project on Best Practices on Collecting and Sharing Labour Migration Data for the Improvement of the Labour Market Information Systems (LMIS) – IOM Strategy: activity 6	49 238
<b>Americas and the Caribbean Line 1 allocations to date</b>		<b>49 238</b>
Americas and the Caribbean Line 1 available funding (less multiregional and administration allocation)		<b>288 666</b>
Allocations for the Americas and the Caribbean Line 1 as a percentage of available funding		<b>17%</b>

<b>Line 2</b>		
IOM El Salvador (El Salvador and Nicaragua)	Regularization of Nicaraguan Migrants and their Families in El Salvador – IOM Strategy: activity 3	149 999
IOM Haiti	Haitian Diasporas: Capacity-building for Haitian Liaison Officers – IOM Strategy: activity 2	200 000
MRF Buenos Aires (Argentina, Plurinational State of Bolivia, Chile, Colombia, Ecuador, Paraguay, Uruguay and Bolivarian Republic of Venezuela)	Migration Profiles in South America – A Tool to Develop Migration Strategies – IOM Strategy: activities 3 and 6	100 000
MRF San José (Costa Rica and Panama)	Improving the Social and Economic Situation of the Mobile Indigenous Ngäbe and Bugle Populations in Costa Rica and Panama – IOM Strategy: activity 12	163 474
MRF Washington, D.C. (Jamaica)	National Policy and Plan of Action on International Migration and Development for Jamaica – IOM Strategy: activity 4	76 723
<b>Americas and the Caribbean Line 2 allocations to date</b>		<b>690 196</b>
Americas and the Caribbean Line 2 available funding (less multiregional and administration allocation)		<b>1 287 824</b>
Allocations for the Americas and the Caribbean Line 2 as a percentage of available funding		<b>54%</b>

<b>Lines 1 and 2</b>		
<b>Americas and the Caribbean regional allocations to date</b>		<b>739 434</b>
<b>Americas and the Caribbean regional available funding</b>		<b>1 576 490</b>
Percentage allocated across the two funding lines		<b>47%</b>

**Annex III (continued)**

<b>REGION: ASIA</b>		
<b>IOM Office (benefiting Members and non-Members)</b>	<b>Project</b>	<b>Amount funded (USD)</b>

<b>Line 1</b>		
MRF Dhaka (Afghanistan, Bangladesh, India, Nepal, Pakistan, Philippines, Sri Lanka, Thailand and Viet Nam)	An Overview of the Current Migration Management Process in the Major Labour-sending Countries of Asia and Recommendations – IOM Strategy: activities 6 and 7	100 000
<b>Asia Line 1 allocations to date</b>		<b>100 000</b>
Asia Line 1 available funding (less multiregional and administration allocation)		<b>213 362</b>
Allocations for Asia Line 1 as a percentage of available funding		<b>47%</b>

<b>Line 2</b>		
IOM Viet Nam	Promoting Safe Labour Migration from Viet Nam through the Establishment of a Migrant Resource Centre – IOM Strategy: activity 12	200 000
MRF Bangkok (Cambodia, Thailand and Viet Nam)	Study on Trafficking, Exploitation and Abuse in the Mekong Subregion (STEAM) – IOM Strategy: activity 6	253 672
<b>Asia Line 2 allocations to date</b>		<b>453 672</b>
Asia Line 2 available funding (less multiregional and administration allocation)		<b>1 081 773</b>
Allocations for Asia Line 2 as a percentage of available funding		<b>42%</b>

<b>Lines 1 and 2</b>		
<b>Asia regional allocations to date</b>		<b>553 672</b>
<b>Asia regional available funding</b>		<b>1 295 135</b>
Percentage allocated across the two funding lines		<b>43%</b>

**Annex III (continued)**

<b>REGION: EUROPE</b>		
<b>IOM Office (benefiting Members and non-Members)</b>	<b>Project</b>	<b>Amount funded (USD)</b>

<b>Line 1</b>		
<b>Europe Line 1 allocations to date</b>		<b>0</b>
Europe Line 1 available funding (less multiregional and administration allocation)		<b>150 608</b>
Allocations for Europe Line 1 as a percentage of available funding		<b>0%</b>

<b>Line 2</b>		
IOM Azerbaijan	Support to Strengthen the Human Resources Capacity of the Nakhchivan State Border Service – IOM Strategy: activity 3	178 980
IOM Serbia	Co-funding Support for the EC Project on Capacity-building of Institutions Involved in Migration Management and Reintegration of Returnees in Serbia – IOM Strategy: activity 6	199 960
<b>Europe Line 2 allocations to date</b>		<b>378 940</b>
Europe Line 2 available funding (less multiregional and administration allocation)		<b>772 695</b>
Allocations for Europe Line 2 as a percentage of available funding		<b>49%</b>

<b>Lines 1 and 2</b>		
<b>Europe regional allocations to date</b>		<b>378 940</b>
<b>Europe regional available funding</b>		<b>923 303</b>
Percentage allocated across the two funding lines		<b>41%</b>

<b>Administration Line 1</b>		
<b>Total</b>		<b>150 000</b>
Allocation as a percentage of available total (Line 1 and Line 2)		<b>2%</b>

**Annex IV**

**Line 1 and Line 2: 1035 Facility financial summary:  
1 January to 31 March 2011**

	<b>USD</b>	<b>USD</b>
<b>Line 1</b>		
2011 Discretionary Income allocation	1 400 000	
Recovered funds from completed projects	5 068	
Total Line 1		1 405 068
<b>Line 2</b>		
2011 Discretionary Income allocation	5 089 949	
Contribution from the Government of Italy <sup>1</sup>	34 722	
Recovered funds from completed projects	26 627	
Total Line 2		5 151 298
<b>Total available funds</b>		<b>6 556 366</b>

	<b>USD</b>	<b>%</b>
<b>Total allocations made in 2011 under Line 1</b>	448 476	32
Balance available: Line 1	956 592	68
<b>Total allocations made in 2011 under Line 2</b>	2 672 768	52
Balance available: Line 2	2 478 530	48

<sup>1</sup> Contribution made by the Government of Italy in 2010.