



International Organization for Migration (IOM)
The UN Migration Agency

OFFICE OF THE INSPECTOR GENERAL

Management Response and Actions IOM Regional Office Pretoria Audit File No. ZA202102

The IOM Office of the Inspector General (OIG) conducted an internal audit of the IOM Pretoria (the “Regional Office”) from 1 to 13 September 2021. The audit assessed the risk exposure and risk management of the Regional Office’s activities, in order to ensure these are well understood and controlled by the regional-level management and staff. The audit covered the activities of the Regional Office from July 2019 to June 2021. The results of the audit are public and can be accessed through IOM’s global website¹.

The OIG assessed the Office as ***Partially Effective, major improvements needed*** and raised 35 audit findings and recommendations, of which 13 were very high and high priority. As of the date this summary is publicly available, 5 recommendations have been implemented and 8 are in the process to be implemented.

Management Response and Actions to the Open Recommendation of Internal Audit Report of ZA202102 – IOM Regional Office Pretoria

Governance Management and Administration

Finding No. 1 Control environment in need of enhancement

Implementation On-going

Human Resources Management

Finding No. 9 Issues associated with consultancy contracts

Finding Closed

This has been fully implemented.

Programme and Operations

Finding No.11 Gaps in the review and endorsement of concept notes and project proposals

Finding Closed

The Regional Office – South Africa has been strictly complying with PRIMA. All projects are pushed back to missions to be entered into PRIMA for any review/endorsement. We of course will continue to monitor and work with Regional Thematic Specialists to ensure this remains consistent. Failures are now being documented and endorsed as such on PRIMA, we had one recently. We escalated to the Regional Director, who confirmed we should continue to document such cases and keep him posted.

¹[IOM Regional Office Pretoria](#)



International Organization for Migration (IOM)
The UN Migration Agency

Finding No. 12 Management of regional programme needs strengthening

Finding Closed

The Regional Office has refocused its strategy and it is more on building the capacity of missions to win more projects, but the Regional Office projects will continue where necessary especially where the projects tackle multi-country issues.

Resources Management (Country Offices)

Finding No. 17 Resources management support to Country Offices needs enhancement

Finding Closed

The Regional Resource Management Unit support to missions has been improved and small missions are supported on a daily basis from Regional Office. Mission visits were distracted by Covid-19 travel restrictions in the first quarter but have resumed now.

Finance and Accounting

Finding No. 18 Set up of bank signatory panel and controls associated with bank management including the role of the RO in approving bank payments for small offices

Implementation On-going

The revised panel has been sent to the bank for action.

Procurement and Contracting

Finding No.24 Lack of a Procurement risk management process

Implementation On-going

Finding No.25 Lack of controls in the implementation of Procurement policies/procedures

Implementation On-going

The standard operating procedures have been developed but a delay to roll them out was due to the fact that we wanted to include the Country Office to avoid duplication, and the Country Office team took long to provide their input. We plan to roll out the standard operating procedures by 31st of July 2022. Corresponding e-mail and draft standard operating procedures were shared to Internal Audit.

Finding No.26 Vendor Screening Process and Due Diligence

Implementation On-going

This process has started but is still in progress. The delay is due to staff changes in the Country Office as the Procurement staff resigned. We hope to complete this by 30th of September 2022.

Finding No. 27 Poor Asset management

Implementation On-going

The Regional Office has started reconciling the 2021 Asset Verification Results and this will be closed by 31st of July 2022. A plan will then be developed for asset verification in other missions in the region.



International Organization for Migration (IOM)
The UN Migration Agency

Finding No.28 Lack of controls in monitoring mileage and fuel usage

Finding Closed

All vehicle logbooks are being completed and monthly vehicle reports are done at the end of each, The May 2022 report was shared to Internal Audit.

Finding No. 29 Vehicle Logbook not provided for audit purposes

Implementation On-going

All vehicles have logbooks and they are up to-date.

Information Technology

Finding No. 34 No ICT risk register

Implementation On-going

All Information and Communications Technology risks will be part of the comprehensive Regional Office risk register which will be completed in September 2022.

OIG - Internal Audit Comment:

Internal Audit positively notes the implementation of recommendations by the Regional Office and encourages the Office to move forward with the implementation of the remaining ones.