



International Organization for Migration (IOM)
The UN Migration Agency

OFFICE OF THE INSPECTOR GENERAL

Management Response and Actions IOM Country Office Bucharest Audit File No. RO201801

The IOM Office of the Inspector General (OIG) conducted an internal audit of the IOM Bucharest (the “Country Office”) from 3 to 12 December 2018. The audit assessed the risk exposure and risk management of the Country Office’s activities, in order to ensure these are well understood and controlled by the country-level management and staff. The audit covered the activities of the Country Office from January 2017 to November 2018. The results of the audit are public and can be accessed through IOM’s global website¹.

The OIG assessed the Office as partially effective, and raised 24 audit findings and recommendations, out of which 10 were high priority.

The Office implemented all recommendations by September 2019.

The below report provides an explanation of the actions taken on the high priority findings since the 2018 audit of the IOM Bucharest office.

Management Response and Actions to the Internal Audit Report of RO201801 – IOM Bucharest

Management and Administration

Finding No. 1: The Country Office has no formalized Strategic Plan

Finding Closed

The Country Office developed the local strategy for 2019-2023. This was discussed internally and was sent to the Regional Office for review.

Personnel

Finding No. 7: Excessive leave balance

Finding Closed

The Country Office considers the finding closed and based on IA’s recommendation took appropriate actions to ensure full compliance with the Unified Staff Regulations and Rules. All staff members discussed with their supervisor on regular basis not only the leave arrangements to ensure regular breaks, but also the workload to see if adjustments are needed.

¹<https://www.iom.int/internal-audit>



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Finance and Accounting

Finding No. 10: Untimely Revenue recognition

Finding Closed

Starting October 2018 when IN/267 “Financial Management Rules and Procedures” entered into force, it is mandatory that the accrual to be posted by Revenue when the payment request is submitted to the donor otherwise the endorsement from Regional Accounting Support is not received. This instruction is followed by the Country Office accordingly.

Finding No. 11: Incorrect use of General Ledger accounts

Finding Closed

The Country Office considers the finding closed and IA’s recommendations were implemented immediately after the Audit Mission (in January 2019).

Finding No. 12: Weak Financial Monitoring of Staff and Office Costs

Finding Closed

The Country Office considers the finding closed. Beside the percentages used for the projectization forecast tables for Staff and Office costs, the Country Office developed Staff and Office forecast and monitoring tool based on figures as well to have accurate information on remaining budgets across all projects. This tool is updated on monthly basis enabling the office to have accurate and precise information related to Staff and Office costs.

Procurement and Logistics

Finding No. 15: Lack of control on the private use of IOM car and unclear posting method of the actual fuel costs

Finding Closed

The Country Office provided samples of monthly vehicle, fuel consumption monitoring reports, and internal Standard Operating Procedures for the use of vehicles.

Finding No. 16: Insufficient Fire/Property Insurance

Finding Closed

The Country Office considers the finding closed and IA’s recommendations were implemented accordingly.

Information Technology

Finding No. 22: Backup and Access issues

Finding Closed

The monthly and the yearly backups will be kept in fireproof safe in the IOM office in UN House, which is a separate building from the IOM main building where the server is located.



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Programme and Operations

Finding No. 23: Weaknesses in project financial monitoring

Finding Closed

Beside the tools that is already in use, Project Managers are receiving email sent by Resource Management Unit Coordinator regarding the status of the projects.

Finding No. 24: Project Deficits

Finding Closed

Based on the Global Project Manager's decision the project FM.0236 has been closed since July 2019 and was taken over by a visa service provider. The project MH.0030 has been reevaluated by the Country Office and the Regional Global Health Assessment Programme Coordinator and it was decided to increase the service fee. This measure was applied starting 15 July 2019.

OIG/Internal Audit Comment:

Internal Audit assessed all recommendations as implemented based on inputs received and documentation provided by the Office.