



OFFICE OF THE INSPECTOR GENERAL

Management Response and Actions IOM Country Office Rome Audit File No. IT202201

The IOM Office of the Inspector General (OIG) conducted an internal audit of the IOM Rome (the “Country Office”) from 14 to 24 November 2022. The audit assessed the risk exposure and risk management of the Country Office’s activities, in order to ensure these are well understood and controlled by the country-level management and staff. The audit covered the activities of the Country Office from January 2021 to November 2022. The results of the audit are public and can be accessed through IOM’s global website¹.

The OIG assessed the Office as **Partially Effective, some improvements needed**, and raised 34 audit findings and recommendations, of which *12 are high priority*. As of the date this summary is publicly available, 4 high priority recommendations are implemented and the remaining 8 are in the process of implementation.

Management Response and Actions to the Open Recommendations of Internal Audit Report of IT202201 – IOM Country Office Rome

Management and Administration

Delegation of Authority has not been developed

Closed

The approved delegation of authority matrix was sent on 3 April to Regional Office Brussels.

Potential liability from retroactive income tax claims

Implementation on-going

Lack of a risk management plan

Implementation on-going

Human Resources

Issues associated with consultancy contracts

Implementation on-going

Finance and Accounting

Control gaps in the disbursement and accounting of financial transactions

Implementation on-going

The delegation of authority has been sent to Regional Office Brussels for their final revision and approval. The deviation of the standard daily subsistence allowance has been discussed with the

¹<https://www.iom.int/sites/g/files/tmzbd1486/files/about-iom/audit/it202201-iom-rome.pdf>

Project Manager and a note has been sent and approved by Regional Office Brussels. The approved note will be shared with the Department of the Financial and Administrative Management in Headquarters for their final approval.

Issues concerning travel duty and daily subsistence allowance

Implementation on-going

The note related to the deviation of the standard daily subsistence allowance has been sent and approved by Regional Office Brussels. In the next coming days, it will be shared with the Department of the Financial and Administrative Management for their final approval. The Resource Management Unit is sending standard reminders to the colleagues for the timely submission of the travel expense claims if missing. When the train tickets are paid by the staff member, a proper quotation is requested to the MMT colleagues.

Procurement and Contracting

Need to improve the contract management process

Closed

The request for quotation for Security Service has been finalized. A new contract has been signed on the 5th of January 2023. The request for quotation for the Laboratory Service has been finalized. The case presentation has been approved by Manila Supply Chain Unit as well, the new contract will be signed within April 2023.

Gaps in Supply Chain Management Process

Closed

The delegation of authority has been finalized and submitted to Regional Office Brussels for their revision and approval.

Poor Asset Management

Closed

The asset register has been revised and updated as needed. The assets assigned to the staff member on Special Leave Without Pay have been almost collected. Referring to the remain ones, reminders have been sent to the colleagues.

Information and Communications Technology

No ICT risk register

Implementation on-going

The risk assessment form will be finalized in the following weeks.

Programme and Operations

Delays in submission of donor reports

Implementation on-going

OIG - Internal Audit Comment:

Internal Audit encourages the Country Office to continue the implementation of audit recommendations.