



International Organization for Migration (IOM)
The UN Migration Agency

OFFICE OF THE INSPECTOR GENERAL

Management Response and Actions IOM Country Office Juba Audit File No. SS201801

The IOM Office of the Inspector General (OIG) conducted an internal audit of the IOM Juba (the “Country Office”) from 16 to 25 October 2018. The audit assessed the risk exposure and risk management of the Country Office’s activities, in order to ensure these are well understood and controlled by the country-level management and staff. The audit covered the activities of the Country Office from January 2017 to September 2018. The results of the audit are public and can be accessed through IOM’s global website¹.

The OIG assessed the Office as partially effective, and raised 33 audit findings and recommendations, out of which 9 are high priority.

As of January 2021, there remain 21 open findings, 3 of which are high risk.

The below report provides an explanation of the actions taken since the 2018 audit and the current state of the IOM Juba office.

Management Response and Actions to the Internal Audit Report of SS201801 – IOM Juba

Management and Administration

Finding No. 1: Delegation of Authority needs update

Finding Closed

The country office agrees with the internal audit’s recommendations and corrective actions have been implemented as of 13 February 2019.

Personnel

Finding No. 8: No documented approval from Human Resources Management for locally implemented guidelines

Finding Closed

The Administrative Instruction No. 4 "Consultants" was superseded by IOM/Admin/RO-HR/KC/289/05/2019. An administrative internal memorandum issued by regional office Nairobi that regulates consultant’s fees and annual leave entitlement for all IOM international and national consultants recruited in the East and Horn of Africa.

¹<https://www.iom.int/sites/default/files/about-iom/audit/ss201801-iom-juba.pdf>



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Finding No. 9: Weak recording and management of leave in PRISM
Implementation On-going

Mission's Response: Installation of the access control has been completed with testing ongoing before final implementation. Expected to be fully operational mid-March 2020.

Finance and Accounting

Finding No. 13: Weak controls in the administration of cash
Finding Closed

In line with new internal instruction necessitated by government and other UN practice, there will be increased USD in the field offices; hence, this will reduce the USD balances in such offices. Frequent surprise cash count is currently being done, however, the surprise cash count in Juba is done by Sr. Resource Management Officers as delegates of Chief of Mission. Controls have been put in place to ensure/limit a staff not to have more than two advances if the staff does not liquidate the previous advance. This is also coordinated with Project Managers who sign off on such requests.

Procurement and Logistics

Finding No. 19: Frequency of vendor evaluation/requalification is to be re-assessed
Finding Closed

Vendor requalification was completed in late 2019; updated documents were collected and filed for vendors. The country office has commenced e-filing of vendor registration documents for better archiving. Vendor database has been updated with vendor categories and registration status. The Contractor Information Management System South Sudan is now fully active. The country office is using the vendor database to review vendor's contract history with other agencies as well as performance reviews.

Finding No. 20: Deficient rotation policy of procurement staff
Finding Closed

Procurement staff rotation is done annually; next round will commence in March 2020. However, due to limited number of staff and during staff absences, the tasks are assigned as needed to available staff.

Finding No. 21: Local flights booked with WFP/UNHAS are not recorded via i-GATOR
Implementation On-going

Mission's Response: The regional office Nairobi had facilitated an i-GATOR training for the country office staff in 2019. Implementation is not yet in effect pending creation of all cities and airport codes in i-GATOR. The Resource Management Unit has been following up with relevant units in Manila. A refresher training on i-GATOR might be necessary once creation of codes are completed.



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Finding No. 22: Old open Purchase Orders in PRISM

Implementation On-going

Mission's Response: Old outstanding purchase orders from 2018 and prior years will be concluded not later than 31 March 2020. MIGO has been done for PO 4500080198 on the basis of the equipment received for the project. Installation is at finalization stage and Programme Support Unit provides regular feedback to donor for coordination and approval of extended timeline. Several new vendors have been identified and registered for Wau and Malakal field offices to reduce the need for manual purchase orders.

Programme and Operations

Finding No. 33: Deficiencies in Displacement Tracking Matrix activities

Finding Closed

The standard operating procedures for Biometric Registration is operational as first version (attached in the email). Staff in the country office are oriented on the standard operating procedures and are guided by it. In addition, Displacement Tracking Matrix had established IOM South Sudan BRaVe user roles, infrastructure, and data flow (attached in the email). The standard operating procedures for Mobility Tracking are at the final stage where all lessons learned are incorporated and will be finalized on 31 March 2020. These standard operating procedures will clearly indicate the end-to-end process of verification and means to verify data at different stage of data collection. Data Governance Structure is planned to be implemented in South Sudan with the support of Regional Displacement Tracking Matrix team. The biometrics registration central server is under the list of the country office Information and Communications Technology network. Access is limited to three authorized users.

OIG/Internal Audit Comment:

OIG - Internal Audit takes note of the effort of the Office to implement some of the recommendations, and strongly encourages the Office to proceed with the implementation of the remaining ones.