



International Organization for Migration (IOM)
The UN Migration Agency

OFFICE OF THE INSPECTOR GENERAL

Management Response and Actions IOM Country Office Madrid Audit File No. ES201801

The IOM Office of the Inspector General (OIG) conducted an internal audit of the IOM Madrid (the “Country Office”) from 14 to 18 May 2018. The audit assessed the risk exposure and risk management of the Country Office’s activities, in order to ensure these are well understood and controlled by the country-level management and staff. The audit covered the activities of the Country Office from September 2016 to March 2018. The results of the audit are public and can be accessed through IOM’s global website¹.

The OIG assessed the Office as partially effective, and raised 15 audit findings and recommendations, out of which 4 are very high and high priority.

As of December 2020, there remain 2 (one high and one medium) open recommendations.

The below report provides an explanation of the actions taken since the 2018 audit and the current state of the IOM Madrid office.

Management Response and Actions to the Internal Audit Report of ES201801 – IOM Madrid

Management and Administration

Finding No. 1: Urgent to explore additional alternate funding options for the medium/long term sustainability of the Country Office

Finding Closed

The agreement with MITRAMISS has just been signed for Resettlement to Spain, the Assisted Voluntary Return and Reintegration, Integration and Relocation in Spain with a total of EUR 3,959,623,00 to be implemented as from September 2019, new funds for the Assisted Voluntary Return and Reintegration Morocco will be received before the end of the year (EUR 300,000). An agreement with SEPIE has been signed for the project "Young Generation as change agents" (EUR 403,004). A framework agreement with the AACID is being negotiated to start to develop projects funded by AACID.

Personnel

Finding No. 2: Incomplete filing of Human Resources relevant documentation

Finding Closed

The following human resources files have been updated:

- Terms of Reference in every position;
- There are none ungraded positions with grade equivalency;

¹https://www.iom.int/sites/default/files/about-iom/audit/es201801-iom_madrid.pdf



International Organization for Migration (IOM)
The UN Migration Agency

- Standard recruitment processes are made;
- All staff has made all the mandatory trainings; and
- All medical documents were removed from the files.

Finance and Accounting

Finding No. 5: Incorrect use of credit card

Finding Closed

There is only one credit card in the office for the on-line services which follows the same procedures as other transactions.

Finding No. 6: Financial management needs improvement through a clear shared cost allocation (Projectization)

Implementation On-going

Mission's Response: A monthly detailed projectization is used, signed by the Head of Office and Resource Management Officer, a copy was shared with the internal auditor.

The periodic (bimonthly average) economic forecast revealing the country office's sustainability horizon in the medium term, and prospective financial situation is made (Head of Office/Resource Management Officer). Forecast is made through meetings between Head of Office/Resource Management Officer working with PRISM data and an excel with a tab for each staff (a copy was shared with the internal auditor as well as the projectization 2021).

Projects to be started in January 2021 are just now in the donors' inner procedures to be signed as soon as possible. Also, we are negotiating other projects else to be implemented in 2021 which are included in the attached list (shared with the internal auditor).

OIG/Internal Audit Comment:

OIG - Internal Audit takes note of the action taken by the Office to close the recommendations and strongly encourages the implementation of the two remaining recommendations.