



International Organization for Migration (IOM)  
The UN Migration Agency

## OFFICE OF THE INSPECTOR GENERAL

### Management Response and Actions Management of Implementing Partners Audit File No. 3P201901

The IOM Office of the Inspector General (OIG) conducted an internal audit of the Management of Implementing Partners from April to December 2019 at the Headquarters and field visit in Country Office Bangladesh from 29 April to 6 May 2019.

The internal audit aimed to assess the adequacy of the design and effectiveness of IOM's governance, risk management and control process in ensuring efficient use of Implementing Partners in the project/programme implementation. The audit of IOM's framework with Implementing partners covered the review of transactions from January 2018 to December 2018. The results of the audit are public and can be accessed through IOM's global website<sup>1</sup>.

OIG Internal Audit assessed the Management of Implementing Partners as ***partially effective*** and raised 9 audit findings and recommendations out of which 3 recommendations are of high priority. As of the date this summary is publicly available, 1 recommendation was assessed as implemented and closed while there remain 8 open recommendations (*of which 3 are high*) that are in the process of implementation as per below updates provided by the auditee.

#### Management Response and Actions to the Open Recommendation of Internal Audit Report of 3P201901 – Management of Implementing Partners

##### **Finding No. 1 Need for a strategic approach to partnering with Implementing Partners, absence of a distinct ownership of Implementing Partners management, and fragmented policy framework** *Implementation on-going*

The organization is currently developing due diligence assessment tools for the private sector and civil society to provide guidance and structure to our engagement with Implementing Partners. The Implementing Partner guidelines are also being finalized and the organization is planning to join the UNPP in the second half of 2021.

##### **Finding No. 2 Need to strengthen risk management relating to Implementing Partners** *Implementation on-going*

The risk assessment for Implementing Partners performed in 2019 has been updated with the current status of action plans. The assessment has been shared with the working group working on reforms

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<sup>1</sup>[https://www.iom.int/sites/default/files/about-iom/audit/3p201901-management\\_of\\_implementing\\_partners\\_in\\_iom.pdf](https://www.iom.int/sites/default/files/about-iom/audit/3p201901-management_of_implementing_partners_in_iom.pdf)



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in this area. To strengthen immediate assessment of risk and due diligence the RM unit developed a tool to support the roll out of IN/284.

RM has also included Implementing Partner Management as a specific risk category in the updated risk policy and framework and is addressed in the Risk Appetite Statements which are currently in final rounds of endorsement and approval.

**Finding No. 3 Insufficient capacity assessments of Implementing Partners**

*Implementation on-going*

The organization is developing due diligence assessment tools for the private sector and civil society. In addition, we are planning to join the UNPP in the second half of 2021.

**OIG - Internal Audit Comment:**

**OIG Internal Audit notes the considerable effort undertaken through the Internal Governance Framework initiative, and strongly encourages IOM senior management to continue the effort to implement the open recommendations in a timely manner.**