



International Organization for Migration (IOM)
The UN Migration Agency

OFFICE OF THE INSPECTOR GENERAL

Management Response and Actions IOM Regional Office Bangkok Audit File No. TH201802

The IOM Office of the Inspector General (OIG) conducted an internal audit of the IOM Regional Office Bangkok (the “Regional Office”) from 22 to 26 October 2018. The audit assessed the risk exposure and risk management of the Regional Office’s activities, in order to ensure these are well understood and controlled by the regional-level management and staff. The audit covered the activities of the Regional Office from September 2016 to August 2018. The results of the audit are public and can be accessed through IOM’s global website¹.

The OIG assessed the Office as partially effective, and raised 15 audit findings and recommendations, out of which 4 are high priority².

The Office implemented all recommendations by May 2020.

The below report provides an explanation of the actions taken since the 2018 audit and the current state of the IOM Bangkok regional office.

Management Response and Actions to the Internal Audit Report of TH201802 – IOM Regional Office Bangkok

Management and Administration

Finding No. 3: Outdated Terms of Reference and absence of a documented backup system regarding the narrative report’s review and endorsement

Finding Closed

The terms of reference were updated to the extent possible. However, the revision of core funded position is on freeze since February 2018. Therefore, no changes can be made to the Regional Office staff terms of reference. Please, refer to the email from Director General, sent through the Office of the Director General. In addition to the “burden sharing” arrangement already mentioned, in recent months, an arrangement was finalised with IOM Canberra for their Project Support Unit to take a stronger role in ensuring quality donor reporting from the Pacific country missions. The ROAP Regional Reporting Officer provided capacity building to the IOM Canberra team, and a standard operating procedure was finalised. Full implementation of this standard operating procedure will start in January 2020.

¹https://www.iom.int/sites/default/files/about-iom/audit/th201802 - iom_ro_bangkok.pdf

² One high risk finding under Management and Administration was not presented, according to the provisions of IB/78 “Disclosure of IOM Internal Audit Reports”.



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Finding No. 4: Role of the Regional Thematic Specialists on the review of Risk Assessment Plan needs to be clarified

Finding Closed

There has been no feedback from headquarters and/or Chief Risk Officer on the revised IN/213 “Management of Risk in IOM” or the template/format to be used for risk register. Also, the roles are still not defined by the Office of the Director General. We also note that the internal auditors have received feedback directly from Chief Risk Officer, which has not been coordinated with Regional Office until now.

OIG/Internal Audit Comment:

OIG - Internal Audit takes note of the action taken by the Office to close all the recommendations.