



## OFFICE OF THE INSPECTOR GENERAL

### Management Response and Actions Activities under the European Union - IOM Joint Initiative for Migrant Protection and Reintegration Audit File No. CH201901

The IOM Office of the Inspector General (OIG) conducted an internal audit of the Activities under the European Union - IOM Joint Initiative for Migrant Protection and Reintegration on January 2018 to December 2019. Due to the prevailing situation because of the COVID-19 pandemic, the audit fieldwork was restricted to Regional Office Brussels, Regional Office Dakar, and IOM Libya only, due to lack of mobility.

The audit assessed the risk exposure and the risk management of the Activities under the European Union - IOM Joint Initiative for Migrant Protection and Reintegration, as well as its relevance with external requirements/expectations; The results of the audit are public and can be accessed through IOM's global website<sup>1</sup>.

The OIG assessed the Office as ***Partially Effective, some improvements needed***, and raised 11 audit findings and recommendations, of which 7 are high priority. As of the date this summary is publicly available, 4 high recommendations were assessed as implemented and closed while there remain 3 high open recommendations, which are in the process of implementation as per updates provided by the auditee.

#### **Management Response and Actions to the Open Recommendation of Internal Audit Report of CH201901 – Activities under the European Union - IOM Joint Initiative for Migrant Protection and Reintegration**

##### **Governance, Organizational Structure and Management**

##### **Finding No. 1 European Union IOM Joint Initiative management structure needs to be defined**

###### *Implementation On-going*

Only point 1 remains in progress; per the detailed action plan provided the remaining points are closed.

##### **Finding No. 2 Absence of a European Union Trust IOM Joint Initiative wide-risk management framework**

###### *Implementation On-going*

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<sup>1</sup>[https://www.iom.int/sites/g/files/tmzbdl486/files/about-iom/audit/iom\\_activities\\_under\\_the\\_eu\\_-\\_iom\\_ji\\_for\\_migrant\\_protection\\_and\\_reintegration.pdf](https://www.iom.int/sites/g/files/tmzbdl486/files/about-iom/audit/iom_activities_under_the_eu_-_iom_ji_for_migrant_protection_and_reintegration.pdf)

## **Compliance with European Commission Rules and Regulations**

### **Finding No. 4, 5 Absence of unified financial and budget monitoring frameworks together with harmonized compliance review plans**

#### ***Finding Closed***

Regional Office Nairobi and Cairo have initiated a management action plan, which addresses the recommendation.

## **Programme Implementation**

### **Finding No. 7 Weak third-party contractor management and monitoring in complex country office operations in IOM Libya**

#### ***Finding Closed***

The third-party contractor items are monitored through the third-party contractor audit recommendations, which have addressed most of the weaknesses and are currently in the process of implementation.

### **Finding No. 8 IOM capacity to follow European Commission and IOM financial procedures**

#### ***Finding Closed***

Relevant actions were taken by Regional Office Dakar.

## **Reporting Data Aggregation**

### **Finding No. 11 Absence of adequate data and reporting tools**

#### ***Implementation On-going***

**OIG - Internal Audit Comment:** Internal Audit acknowledges the management action to address recommended areas for improvement and will continue to follow up on the status of the implementation of the remaining recommendations.