



OFFICE OF THE INSPECTOR GENERAL

Management Response and Actions IOM Country Office Tripoli Audit File No. LY202001

The IOM Office of the Inspector General (OIG) conducted an internal audit of the IOM Tripoli (the “Country Office”) from December 2020 to January 2021. The remote audit assessed the risk exposure and risk management of the Country Office’s activities, in order to ensure these are well understood and controlled by the country-level management and staff. The audit covered the activities of the Country Office from December 2018 to January 2021. The results of the audit are public and can be accessed through IOM’s global website¹.

The OIG assessed the Office as ***Partially Effective, major improvements needed***, and raised 30 audit findings and recommendations, of which 10 are very high and high priority. As of the date this summary is publicly available, 5 very high and high recommendations were assessed as implemented and closed while there remain 5 very high and high open recommendations, which are in the process of implementation as per updates provided by the auditee.

Management Response and Actions to the Open Recommendation of Internal Audit Report of LY202001 – IOM Tripoli

Management and Administration

Finding No. 1 Lack of privileges and immunities’ framework for Tunis sub-office and presence of Libya staff in Tunis (includes repeat finding)

Finding Closed

Human Resources

Finding No. 3 Staff Location, travel on duty and rotation cycle (includes repeat finding)

Implementation On-going

- Effective February 2021, the country office is sharing two months in advance schedule.
- Rest and Recuperation special arrangement was approved by Human Resources Management dated May 2021 and extended until 30 June 2022.

¹ <https://www.iom.int/sites/g/files/tmzbd1486/files/inline-files/co-tripoli-ly202001-executive-summary.pdf>

Finance and Accounting

Finding No. 8 Accounting and projectization of warehouse construction

Implementation On-going

The country office shared the email from Sr. Resource Management Officer on the ways forward and requested Central Accounting Support to capitalize the warehouse construction to leasehold improvement; waiting for Central Accounting Support to validate the expenses to capitalize the warehouse construction.

Finding No. 9 Deficiencies in the cash administration

Implementation On-going

- The standard operating procedure for cash management under review with Regional Office, to be finalized on the 1st week of April.
- The standard operating procedure for Host family and exit visas already finalized. Insurance coverage for cash fully covered per cash float approved by Treasury.

Finding No. 10 Controls over accounting and disbursement process and documentations need to be strengthened (includes a repeated finding)

Finding Closed

All finance staff (international/national staff) attended the Financial Management Rules and Procedures training sponsored by Regional Office Cairo in May 2021.

Request for payment and completed documents are now e-filed and the approval shall follow the delegation of authority, based on the amount being adjusted/reclassified.

The country office also ensure that PRISM voucher is printed and attached to the request for payment. Procurement is sending monthly reminders to staff with regards to the personal call, and feedback/confirmation must be provided by staff within four weeks. If no confirmation received after four weeks, the total invoice will be debited on the staff vendor.

The country office will organize a quick briefing with the Finance team to ensure compliance.

Finding No. 11 Long outstanding items in vendor and staff accounts (repeat finding)

Implementation On-going

Monthly vendor maintenance is currently in place, for old items due to missing original documents we are still clearing these items; to be completed by 30 June 2022.

Procurement and Contracting

Finding No. 17 Incomplete Vendor Files (repeat finding)

Finding Closed

The recommendation has been fully implemented in April 2021.

Finding No. 18 Poor Vehicle Fleet Management (includes a repeat finding)

Implementation On-going



Programme and Operations

Finding No. 27 Inaccuracies found in exit visa receipts compared to the lists of returnees and the cash advance settlements

Finding Closed

The standard operating procedure finalized together with Programme colleagues dated 16 September.

Finding No. 28 Host family allowance in need of improvement

Finding Closed

The standard operating procedure for Host family finalized and shared with Internal Audit.

OIG - Internal Audit Comment : Internal Audit notes the implementation of a number of audit recommendations and will continue to monitor the status of the remaining ones.